

## Internal Appeals Policy (Exams)

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<b>Document Owner:</b>	Edward Pinner, Principal
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### Document Version History

<b>Version</b>	<b>Date</b>	<b>Ref</b>	<b>Change Summary</b>
1.2	October 2025		Updated

### Key Staff involved in internal appeals procedure

<b>Role</b>	<b>Name(s)</b>
Head of Centre	Edward Pinner
Exams Managers Line Manager (Member of SLT) Deputy Principal	Amy Deane
Examinations Officer	Jo Beesley
Assistant Principal – Inclusion (SENDSCO)	Lyndsey Healey

### **3.1 Centre pre-results day check**

The UAL Awarding Body will release results to centres 7 days before they are released to learners.

This allows the examinations manager and leadership and management team the opportunity to thoroughly check that all results match their intended or submitted result for the learner, considering any adjustments to results as part of the external moderation process.

If the examinations manager and leadership and management team identify an administrative error during this check, they must contact the UAL Operations Team (operations.awarding@arts.ac.uk) under the enquiries process and request an amendment to the learner's result. Following this, UAL Awarding Body will carry out clerical checks including a review of the moderation process. The centre will be notified whether the grade has changed or not changed. Any changes will be approved by the Senior External Moderator and a centre may be subject to sanctions/a change in risk rating if maladministration is identified through submission of incorrect results.

#### **Centre identification of errors after the 7 day period**

If an administrative error has been identified after the 7-day period, the centre must follow the process outlined in section 3.2 or alternatively, contact the UAL Quality Assurance and Enhancement Team (gradeappeals.awarding@arts.ac.uk). After the 7 days have passed, the final assessment results will be released to learners by centres.

#### **Submitting a grade appeal for internally assessed qualifications**

Learners must be given the opportunity to submit an appeal against their assessment grade directly with their centre through the centre's own appeals policy.

The centre policy must be fair and objective and the grounds for appeal must broadly reflect the grounds for appeal set out in this policy for internally assessed qualifications.

Please note that UAL Awarding Body may require centres to submit their appeals policy as part of its ongoing quality monitoring activities.

As part of the centre's appeal policy, the following processes must be undertaken:

- Where the learner appeals against their grade and following a review the centre identifies that an administration or procedural error has occurred which wasn't identified through the pre-results release check, the centre must inform UAL Awarding Body as soon as possible.
- UAL Awarding Body will then carry out the same process as in the pre-results day check above.
- Where the learner appeals against their assessment grade on the grounds of academic judgement and the centre recommends that the appeal is upheld and a grade changed, they must ensure that the results of any other learners who may have

also been impacted are considered. UAL Awarding Body must be kept informed of this process.

- Any upheld appeals that have resulted in a recommended change of grade at centre level must be submitted to UAL Awarding Body via an online form for review within 10 working days of the results being released to learners.

The evidence submitted as part of the appeal must also be attached. The appeal and recommended grade changes will then be heard as part of the stage 1 process as explained in section 4 of the Policy. • Centre appeals against the outcome of an enquiry and external moderation activities must also be submitted to stage 1 of the process. • Learner appeals against the outcome at centre level can also be submitted to stage 1 of the process.

## 1. Appeals against internal assessment decisions (centre assessed marks)

Certain GCSE, GCE and other qualifications contain components of non-examination assessment (or units of coursework) which are internally assessed (marked) by the centre and internally standardised. The marks awarded (the internal assessment decisions) which contribute to the final grade of the qualification are then submitted by the deadline set by the awarding body for external moderation.

For our centre, this relates to the speaking and listening element of GCSE English Language only. Our centre's policy is to carry these SPL marks across from school, so we only carry out this assessment where, for whatever reason, this assessment has not been completed in school already.

This procedure confirms LIPA Sixth Form College's compliance with JCQ's **General Regulations for Approved Centres** (section 5.7) that the centre will

- Have in place and be available for inspection purposes, a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates
- Before submitting marks to the awarding body inform candidates of their assessed marks and allow a candidate to request a review of the centres marking

### Deadlines for the submission of marks

Date	Qualification	Details	Exam series
15 <sup>th</sup> May 2026	GCSE	Final date for submission of centre assessed marks (Pearson)	Summer 2026

LIPA Sixth Form College is committed to ensuring that whenever its staff mark candidates' work this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.

Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, and who have been trained in this activity. LIPA Sixth Form College is committed to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where a number of subject teachers are involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking.

On being informed of their centre assessed marks, if a candidate believes that the above procedures were not followed in relation to the marking of his/her work, or that the assessor has not properly applied the marking standards to his/her marking, then he/she may make use of the appeals procedure below to consider whether to request

a review of the centre's marking. **He/she must do this within 4 working days of receiving his/her marks**

**LIPA Sixth Form College will:**

1. ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body.
2. inform candidates that they will need to explain on what grounds they wish to request a review of an internally assessed mark as a review will only focus on the quality of work submitted.
3. inform candidates that they may request copies of materials (generally as a minimum, a copy their marked assessment material (work) and the mark scheme or assessment criteria plus additional materials which may vary from subject to subject) to assist them in considering whether to request a review of the centre's marking of the assessment.
4. having received a request for copies of materials, promptly make them available to the candidate (or for some marked assessment materials, such as artwork and recordings, inform the candidate that the originals will be shared under supervised conditions) within 2 working days.
5. inform candidates they will not be allowed access to original assessment material unless supervised.
6. provide candidates with sufficient time to allow them to review copies of materials and reach a decision, informing candidates that if their decision is to request a review, they will need to explain what they believe the issue to be.
7. provide a clear deadline for candidates to submit a request for a review of the centre's marking. Requests will not be accepted after this deadline. Requests **must** be made in writing within 3 calendar days of receiving copies of the requested materials by completing the **internal appeals form**.
8. allow 5 working days for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline for the submission of marks.
9. ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review. **A review may result in marks being raised, lowered or remaining the same.**
10. instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre.

11. inform the candidate in writing of the outcome of the review of the centre's marking.

The outcome of the review of the centre's marking will be made known to the head of centre who will have the final decision if there is a disagreement on the mark to be submitted to the awarding body. A written record of the review will be kept and made available to the awarding body upon request.

The awarding body will be informed if the centre does not accept the outcome of a review.

The moderation process carried out by the awarding body may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is in line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional. This process is outside the control of LIPA Sixth Form College and is not covered by this procedure.

### **Appeals relating to centre decisions not to support an application for a clerical re-check, a review of marking, a review of moderation or an appeal**

This procedure confirms LIPA Sixth Form College compliance with JCQ's **General Regulations for Approved Centres** (section 5.13h) that the centre will:

- have available for inspection purposes and draw to the attention of candidates and their parents/carers, a written internal appeals procedure to manage disputes when a candidate disagrees with a centre decision not to support an application for a clerical re-check, a review of marking, a review of moderation or an appeal

Following the issue of results, awarding bodies make post-results services available. Full details of these services, internal deadlines for requesting a service and fees charged are provided by the Exams Manager

Candidates are also made aware of the arrangements for post-results services and the availability of senior members of centre staff immediately after the publication of results so that results may be discussed, and decisions made on the submission of reviews of marking. Candidate are made aware **before** they sit any exams. This information can be found on the college's website.

If the centre or a candidate (or his/her parent/carer) has a concern and believes a result may not be accurate, a review of the result may be requested.

The JCQ post-results services currently available are detailed below.

### **Reviews of Results (RoRs):**

- Service 1 (Clerical re-check)  
This is the only service that can be requested for objective tests (multiple choice tests)
- Service 2 (Review of marking)
- Priority Service 2 (Review of marking)  
This service is available for externally assessed components of both unitised and linear GCE A-level specifications (an individual awarding body may also offer this priority service for other qualifications)
- Service 3 (Review of moderation)  
This service is not available to an individual candidate

### **Access to Scripts (ATS):**

- Copies of scripts to support reviews of marking
- Copies of scripts to support teaching and learning

Written candidate consent (informed consent via candidate email is acceptable) is required in all cases before a request for a RoR service 1 or 2 (including priority service 2) is submitted to the awarding body. Consent is required to confirm the candidate understands that the final subject grade and/or mark awarded following a clerical re-check or a review of marking, and any subsequent appeal, may be lower than, higher than, or the same as the result which was originally awarded. Candidate consent must only be collected after the publication of results.

If a concern is raised about a particular examination result, the candidate can request one of the above reviews of results (Service 1, Service 2 or Priority Service 2). LIPA Sixth Form College is committed to supporting the candidate in every instance; advice and guidance will be offered if the candidate is in danger of losing his/her overall grade.

Concerns raised by a Head of Faculty may result in the candidate being contacted, to discuss the feasibility of requesting one of the above enquires about results. The candidate will pay the appropriate EAR fee to the centre, and a request will be made to the awarding body on the candidate's behalf.

Following the RoR outcome, an external appeals process is available if the Head of Centre remains dissatisfied with the outcome and believes there are grounds for appeal. The JCQ publications **Post-Results Services** and **JCQ Appeals Booklet** (A guide to the awarding bodies' appeals processes) will be consulted to determine the acceptable grounds for a preliminary appeal.

Where the Head of Centre is satisfied after receiving the RoR outcome, but the candidate (or his/her parent/carer) believes there are grounds for a preliminary appeal to the awarding body, a further internal appeal may be made to the Head of Centre. Following this, the Head of Centre's decision as to whether to proceed with a preliminary appeal will be based upon the acceptable grounds as detailed in the **JCQ Appeals Booklet**. Candidates or parents/carers are not permitted to make direct representations to an awarding body.

The **Internal Appeals Form** should be completed (See Appendix A) and submitted to the centre within 14 days of the notification of the outcome of the RoRs. Subject to the Head of Centres decision, this will allow the centre to process the preliminary appeal and submit to the awarding body within the required 30 calendar days of receiving the outcome of the enquiry about results process. Awarding body fees which may be charged for the preliminary appeal must be paid to the centre by the appellant before the preliminary appeal is submitted to the awarding body (The fee structure/tariff is available from the Exams Manager). If the appeal is upheld by the awarding body, this fee will be refunded by the awarding body and repaid to the appellant by the centre.

### **Appeals regarding centre decisions relating to access arrangements and special consideration**

This procedure confirms LIPA Sixth Form College compliance with JCQ's **General Regulations for Approved Centres** (section 5.3z) that the centre will:

- have in place and available for inspection a written internal appeals procedure which must cover at least appeals regarding...centre decisions relating to access arrangements and special consideration

LIPA Sixth Form College will:

- comply with the principles and regulations governing access arrangements and special considerations as set out in the JCQ publications **Access Arrangements and Reasonable Adjustments** and **A guide to the special considerations process**.
- ensure that all staff who manage and implement access arrangements and special considerations are aware of the requirements and are appropriately supported and resourced.

### **Access Arrangements and Reasonable Adjustments**

In accordance with the regulations, LIPA Sixth Form College:

- recognises its duty to explore and provide access to suitable courses, through the access arrangements process submit applications for reasonable adjustments and make reasonable adjustments to the service the centre provides to disabled candidates.
- Complies with its responsibilities in identifying, determining and implementing appropriate access arrangements and reasonable adjustment.

Failure to comply with the regulations have the potential to constitute malpractice which may impact on a candidate's result(s).

Examples of failure to comply include:

- putting in place access arrangements/adjustments that are not approved
- failing to consider putting in place access arrangements (which may be a failure to comply with the duty to make reasonable adjustments)
- permitting access arrangements/adjustments within the centre which are not supported by appropriate evidence
- charging a fee for providing reasonable adjustments to disabled candidates

## **Special Consideration**

Where LIPA Sixth Form College has appropriate evidence signed by a member of the senior leadership team to support an application, it will apply for special consideration at the time of the assessment for a candidate who has temporarily experienced illness, injury or some other event outside of their control when the issue or event has had, or is reasonably likely to have had, a material effect on the candidate's ability to take an assessment or demonstrate his or her normal level of attainment in an assessment.

## **Centre decisions relating to access arrangements, reasonable adjustments, and special consideration**

This may include LIPA Sixth Form College decision not to make/apply for a specific reasonable adjustment or to apply for special consideration, in circumstances where a candidate does not meet the criteria for, or there is no evidence/insufficient evidence to support the implementation of an access arrangement/reasonable adjustment or the application of special consideration.

Where LIPA Sixth Form College makes a decision in relation to the access arrangement(s), reasonable adjustment(s) or special consideration that apply for a candidate or candidates:

- If a candidate who is the subject of the relevant decision (or the candidate's parent/carer) disagrees with the decision made and reasonably believes that the centre has not complied with its responsibilities or followed due procedures, a written request setting out the grounds for appeal should be submitted
- The **Internal Appeals Form** should be completed (See Appendix A) and submitted to the centre within 14 days of the notification of the outcome of the decision.

To determine the outcome of the appeal, the Head of Centre will consult the respective JCQ publication to confirm the centre has complied with the principles and regulations governing access arrangements and/or special consideration and followed due procedures.

The appellant will be informed of the outcome of the appeal within 30 calendar days of the appeal being received and logged by the centre.

If the appeal is upheld, LIPA Sixth Form College will proceed to implement the necessary arrangements/submit the necessary application.

## **Appeals regarding centre decisions relating to other administrative issues**

Circumstances may arise that cause LIPA Sixth Form College to make decisions on administrative issues that may affect a candidate's examinations/assessments.

Where LIPA Sixth Form College may make a decision that affects a candidate or candidates:

- If a candidate who is the subject of the relevant decision (or the candidate's parent/carer) disagrees with the decision made and reasonably believes that the centre has not complied the regulations or followed due process, a written request setting out the grounds for appeal should be submitted
- The **Internal Appeals Form** should be completed (See Appendix A) and submitted to the centre within 14 days of the notification of the outcome of the decision.

The appellant will be informed of the outcome of the appeal within 30 calendar days of the appeal being received and logged by the centre.

## Further guidance to inform and implement appeals

### JCQ

- General Regulations for Approved Centres  
<https://www.jcq.org.uk/exams-office/general-regulations/>
- Post-Results Services  
<https://www.jcq.org.uk/exams-office/post-results-services/>
- JCQ Appeals Booklet  
<https://www.jcq.org.uk/exams-office/appeals/>
- Notice to Centres – informing candidates of their centre assessed marks  
<https://www.jcq.org.uk/exams-office/non-examination-assessments>
- Suspected Malpractice: Policies & procedures <https://www.jcq.org.uk/exams-office/malpractice/>
- Access Arrangements & Reasonable Adjustments  
<https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/>
- A guide to the special consideration process <https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/>

### Ofqual

- GCSE (9 to 1) qualification-level conditions and requirements  
<https://www.gov.uk/government/publications/gcse-9-to-1-qualification-level-conditions>
- GCE qualification-level conditions and requirements  
<https://www.gov.uk/government/publications/gce-qualification-level-conditions-and-requirements>

## APPENDIX A

### Internal Appeals form

Please tick box to indicate the nature of your appeal and complete all white boxes on the form below

- Appeal against an internal assessment decision and/or request for a review of marking
- Appeal against the centre's decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal
- Appeal against the centre's decision relating to access arrangements or special consideration

FOR CENTRE USE ONLY	
Date received	
Reference No.	

Appeal against the centre's decision relating to an administrative issue. Name of appellant		Candidate name	
Awarding body		Exam paper code	
Subject		Exam paper title	

#### Please state the grounds for your appeal below

(If applicable, tick below)

- Where my appeal is against an internal assessment decision, I wish to request a review of the centre's marking  
and **I understand that marks may be raised, lowered, or remain the same**

If necessary, continue on an additional page if this form is being completed electronically or overleaf if hard copy being completed

Appellant signature:

Date of signature: